

**The U.S. Department of Homeland Security Notice of  
Funding Opportunity  
Fiscal Year 2021 Citizenship and Integration Grant Program  
Refugee and Asylee Integration Services**

**NOTE:** If you are applying for this funding opportunity and have **not** obtained a Data Universal Numbering System (DUNS) number and/or **are not** currently registered in the System for Award Management (SAM), please take immediate action to obtain a DUNS number, if applicable, and then to register immediately in SAM. It may take four weeks or more after you submit your SAM registration before your registration is active in SAM, then an additional 24 hours for [Grants.gov](https://www.grants.gov) to recognize your information. Information on obtaining a DUNS number and registering in SAM is available at [Grants.gov](https://www.grants.gov).

Detailed information regarding DUNS and SAM is also provided in Section D of this Notice of Funding Opportunity (NOFO).

**A. Program Description**

**1. Issued By**

U.S. Department of Homeland Security (DHS), U.S. Citizenship and Immigration Services (USCIS), External Affairs Directorate (EXA), Office of Citizenship (OoC)

**2. Assistance Listings (formerly Catalog of Federal Domestic Assistance (CFDA) Number)**

97.010

**3. Assistance Listings Title (formerly CFDA Title)**

Citizenship Education and Training

**4. Funding Opportunity Title**

FY 2021 Citizenship and Integration Grant Program: Refugee and Asylee Integration Services (RAIS)

**5. Funding Opportunity Number**

DHS-21-CIS-010-003

**6. Authorizing Authority for Program**

H.R. 133 - Consolidated Appropriations Act, 2021 (Pub. L. 116-260), Division F, Title IV

**7. Appropriation Authority for Program**

H.R. 133 - Consolidated Appropriations Act, 2021 (Pub. L. 116-260), Division F, Title IV

**8. Announcement Type**

Initial

**9. Program Overview, Objectives, and Priorities**

OoC is charged with promoting instruction and training on the rights and responsibilities of citizenship. USCIS recognizes that naturalization is a culmination of the civic integration of lawful permanent residents (LPRs) who were resettled as refugees or granted asylum, as well as for Cuban or Haitian entrants or individuals admitted on a Special Immigrant Visa (SIV); however, some of these individuals may experience challenges with aspects of civic, linguistic, economic, cultural and institutional integration when resettling in the United States, which may impact their progress toward full civic integration. The sooner these individuals are able to engage in their communities,

the more likely they are to find satisfaction and success in their personal and professional lives; make positive contributions to their communities and the Nation, and become naturalized citizens. It is critical to provide refugees and asylees, Cuban and Haitian entrants, and SIV recipients with opportunities to gain the knowledge and skills necessary to fully integrate into American society.

The goal of the RAIS funding opportunity is to provide extended integration services, which build on, but are not intended to replicate, those resettlement services funded by the U.S. Department of State's Bureau of Population, Refugees, and Migration and the U.S. Department of Health and Human Services' Office of Refugee Resettlement, which prioritize early economic self-sufficiency. The primary focus of the RAIS is to provide individualized programming to LPRs who entered the United States under the U.S. Refugee Admissions Program (USRAP), were granted asylum, as well as Cuban or Haitian entrants and individuals on an SIV, to attain the skills and knowledge required for successful citizenship and to foster a sense of belonging and attachment to the United States. Although the title of the program refers only to refugees and asylees, **LPRs who entered the United States as Cuban or Haitian entrants or were admitted on an SIV are also eligible for services under this program.** This grant strives to promote long-term civic integration of those LPRs who have identified naturalization as a goal yet may need additional information and instruction to attain it.

Applicants are required to demonstrate in-depth experience with, and extensive knowledge of the particular community they propose to serve and describe how the proposed program design will address the identified integration needs of this population. Applicants are required to propose a program that provides a suite of integration services to LPRs who entered the United States as refugees, asylees, Cuban or Haitian entrants, or those on a Special Immigrant Visa that will promote long-term civic integration. Through individual needs assessments, completion of integration plans for each enrolled LPR, provision of information and guidance on available integration services, provision of civics-based literacy, civics-based English language, and citizenship instruction to prepare for naturalization, those served under the program will gain the tools required to become successful citizens.

Applications must propose a detailed plan on how they will assess each LPR and develop and monitor the implementation of an individual integration plan for those served under the program. Submission of the proposed integration plan template is required as an attachment to this application. As part of each individual's integration plan, applicants must make referrals to the following integration services based on the LPR's specific identified needs: community orientation; referrals and interactions with local government and public institutions; referrals to employment training; and referrals to appropriate sources of information for updating or renewing previously held foreign professional credentials when applicable. In addition to the proposed integration services, the cornerstone of the program design must include civics-based literacy, civics-based English as a second language (ESL) instruction, citizenship instruction, and free naturalization legal services within the authorized practice of immigration law. Naturalization legal services cannot be provided to individuals who have not undergone the initial integration assessment and have not had an integration plan completed on their behalf.

The primary applicant or a sub-awardee must directly provide either citizenship instruction or naturalization legal services. Civics-based literacy and civics-based ESL instruction may be provided by the applicant or sub-awardee. Other required integration services may be provided through systemic and documented referral to other local community service providers with whom the primary applicant has a pre-existing and well-established relationship.

OoC may suggest changes to the integration proposal after reviewing the applicant’s program design either during the negotiation period or the first quarter of the funding period. Grant recipients will be required to develop and monitor the implementation of an individual integration plan for a minimum of 200 LPRs who have identified naturalization as a goal.

Furthermore, the goals of the Citizenship and Integration Grant Program support the objectives of Executive Order 14012, *Restoring Faith in Our Legal Immigration Systems and Strengthening Integration and Inclusion Efforts for New Americans*, by promoting integration, inclusion, and citizenship.

The Citizenship and Integration Grant Program also addresses the DHS mission to enforce and administer our immigration laws as stated in the [2014 Quadrennial Homeland Security Review](#) as the program provides LPRs instruction on the rights and responsibilities of U.S. citizenship and information and support on how to apply for naturalization within the authorized practice of immigration law.

In fiscal year (FY) 2021, up to \$1.8 million in federal funding is available for eligible organizations to provide integration services to LPRs who entered the United States as refugees, asylees, Cuban or Haitian entrants, or on a Special Immigrant Visa. (Please note that throughout the rest of this document, lawful permanent residents who were admitted or entered the United States as refugees, asylees, Cuban or Haitian entrants, or on a SIV will be referred to as “program beneficiaries” or “program beneficiary” in the singular.) USCIS seeks to award approximately six grants of up to \$300,000 each.

Proposed services **must** include the four mandatory elements listed below:

**1. Development of an individual integration plan for each program beneficiary who will receive services.**

The intent of this requirement is to develop an integration road map for program beneficiaries who have identified naturalization as a goal, including those who are not yet ready to apply for naturalization and may require interim services to attain the skills and knowledge required for successful citizenship. Each enrolled LPR should be assessed for English language level and naturalization eligibility and provided with information on the naturalization process and guidance on what steps are needed to prepare for naturalization. This naturalization-focused assessment should be considered the foundation of the individual integration plan that must be completed for every enrolled program beneficiary, with services and referrals tailored to the specific skills, needs, and literacy level of the individual. Each program beneficiary must be offered enrollment in civics-based literacy, civics- based ESL, or citizenship instruction classes (see 4 below) as appropriate.

**2. Provision of or referral to integration services that address the specific needs identified in the program beneficiary’s individual integration plan.**

Grantees must offer access to a range of services designed to foster long-term integration that are tailored to the specific needs of the target population. Below are some of the types of integration services that could be funded under this grant. Grant recipients may propose other services based on the identified needs of the communities they propose to serve.

**a. Community orientation and settlement information.**

Building on the information that refugees receive during their initial Reception and Placement Orientation, community orientation and settlement activities include those that allow program beneficiaries to expand, reinforce and practice

existing knowledge to foster their community participation and understanding of how to navigate local infrastructure and services. Examples of activities include but are not limited to:

- Creating a local community resource guide;
- Hosting local leaders or representatives of community services or civic organizations as guest speakers; and
- Community based events and tours.

**b. Referrals to and interactions with local government and public institutions.**

There are usually many community-based integration support services to assist refugees and asylees. Programs should be aware of these existing services and develop plans to collaborate with the local government and public institutions that would most benefit their program beneficiaries. Programs can develop lesson plans in conjunction with entities to teach program beneficiaries about their role in the community and the services they offer, invite guest speakers to speak to program participants, and arrange for program beneficiaries to have meaningful interaction with community partners.

Such institutions include, but are not limited to: (a) public libraries, (b) the Department of Motor Vehicles, (c) community centers, (d) law enforcement agencies, (e) clinics, (f) employment services, (g) public schools, (h) emergency management agencies, (i) historical museums, and (j) community colleges, etc.

**c. Referrals to employment training.**

Referrals to employment training may be to programs or classes that help program beneficiaries acquire workplace-specific knowledge or skills in order to improve performance, improve chances of advancement, or prepare program beneficiaries for future career goals as identified in their individual integration plan. Grant funds may not be used to pay the costs for individual employment training.

**d. Referrals to appropriate sources of information for updating or renewing previously held foreign professional credentials when applicable.**

Organizations may make referrals for those program beneficiaries who have identified the goal of employment in their pre-immigration career fields. Assistance may be in the form of written information or guidance, facilitating a mentoring relationship between the program beneficiary and a volunteer with experience in the same field, or referrals to agencies or professional organizations that assist individuals to recertify or obtain the required professional credentials to re-enter their chosen field. Grant funds are not intended to pay for the costs of individual re-certification or re-credentialing services for program beneficiaries.

**3. Civics-based literacy instruction, civics-based ESL instruction, and citizenship instruction to provide enrolled LPRs with the skills and knowledge needed to prepare for citizenship.**

Based on the assessment conducted to develop the program beneficiary's individual integration plan and student progress during the grant period, a minimum of 150 program beneficiaries should be enrolled in an appropriate instruction level. USCIS recognizes that not all individuals will be ready for placement into a high beginning citizenship class (which is the target level for USCIS-funded citizenship instruction) at the time they seek services to begin preparing for

naturalization. Students may start in a lower level class and progress to citizenship instruction; however, a minimum of 150 first-time student enrollments is required.

**a. Civics-Based Literacy and Civics-Based ESL Instruction**

Students whose English level is not at the appropriate level for citizenship instruction classes should be served through civics-based literacy and/or civics-based ESL instruction. The Office of Citizenship defines civics-based literacy and civics-based ESL instruction as ESL classes or components of citizenship classes that provide students with the English language grammar and vocabulary needed to advance through the stages of integration with the ultimate goal of naturalization. These categories of instruction are appropriate for individuals who do not have sufficient English language attainment to be placed in a citizenship class that focuses on preparation for the naturalization interview and test. Civics-based literacy includes using civics and integration concepts and themes to teach low-level students basic English grammar and vocabulary preparing them for civics-based ESL (this is targeted at program beneficiaries assessed at [National Reporting System for Adult Education](#) (NRS) level 1 – beginning ESL literacy). Civics-based ESL focuses more heavily on teaching the English structures needed to understand the concepts found within the naturalization test as well as those to assist students to become more integrated community members (this is targeted at program beneficiaries assessed at NRS level 2 – low beginning ESL).

**b. Citizenship Instruction**

Students assessed at the NRS high beginning level or higher should be provided with citizenship instruction as defined below. The program design must include:

- 1) Instruction primarily delivered in English in U.S. history and government and the promotion of civic integration;
- 2) Activities that promote civic and linguistic integration;
- 3) ESL instruction in reading, writing, and speaking to support naturalization preparation;
- 4) Instruction primarily delivered in English on the naturalization process and eligibility interview;
- 5) The use of a nationally normed standardized test of English proficiency to place and assess progress of all students enrolled under this program. At least 80% of post-tested students must demonstrate educational gains as evidenced by increased standardized test scores;
- 6) The use of a current adult citizenship textbook that aligns with the skill level of the students in the class (published textbooks only, compilations of worksheets or handouts will not be accepted); all students must be issued a textbook for their own personal use;
- 7) A curriculum that includes all of the components contained in the [USCIS Sample Curriculum](#) found on the Citizenship Resource Center at [www.uscis.gov/citizenship/educators/program-development](http://www.uscis.gov/citizenship/educators/program-development) including:
  - Instruction in U.S. history and government for naturalization test preparation and the promotion of civic integration;
  - Activities that promote civic and linguistic integration;
  - ESL instruction in reading, writing, and speaking for the naturalization test, naturalization process, and to conduct required integration activities; and
  - Instruction on the eligibility interview for Form N-400, Application for Naturalization.
- 8) The provision of at least 40 hours of citizenship instruction over a 10-12 week class cycle with managed enrollment to at least 150 students at the NRS high beginning to high intermediate levels (NRS Levels 3-5); and

- 9) The use of citizenship teachers who have degrees in education (K-12 Social Studies, History, TESOL, or Adult Education) and/or who have at least one year of experience teaching adult immigrants. Although not a requirement, programs whose teachers have the following education, certification, and/or experience are generally more likely to be scored higher than programs that do not:
- Hold a degree in TESOL; and/or
  - Hold TESOL certification from a state licensing agency; and/or
  - Have a minimum of 2 years of experience in TESOL instruction in a classroom setting or since March 2020 in a virtual setting for an adult education program that utilizes a textbook and a structured curriculum.

Citizenship instruction classes must integrate instruction in U.S. history and government; civics-based ESL instruction in reading, writing, and speaking; and instruction on the naturalization process and eligibility interview. For more detailed information on the content and competencies that applicants are required to address in grant-funded classes, please review the *Guide to the Adult Citizenship Education Content Standards and Foundation Skills* found at [www.uscis.gov/sites/default/files/USCIS/Office\\_of\\_Citizenship/Citizenship\\_Resource\\_Center\\_Site/Publications/M-1121.pdf](http://www.uscis.gov/sites/default/files/USCIS/Office_of_Citizenship/Citizenship_Resource_Center_Site/Publications/M-1121.pdf), the *USCIS Guide to Creating an Adult Citizenship Education Curriculum* found at [www.uscis.gov/sites/default/files/document/guides/M-1189.pdf](http://www.uscis.gov/sites/default/files/document/guides/M-1189.pdf) and the USCIS Sample Curriculum found at [www.uscis.gov/sites/default/files/document/guides/M-1166.pdf](http://www.uscis.gov/sites/default/files/document/guides/M-1166.pdf).

Citizenship instruction programs must also incorporate a minimum of two civic integration learning activities into class curricula, described in detail in the proposal narrative. The intent of this requirement is to encourage students to extend knowledge acquisition beyond traditional classroom instruction through opportunities to experience and navigate American civic life firsthand through in-depth learning activities. Applicants are encouraged to draw upon their local resources, venues, and landmarks when conceptualizing and designing these civic integration learning activities. Suggested categories for the required integration activities include but are not limited to:

- **Community acculturation** – Programs that help refugees and others acculturate and become less dependent on federal and state subsidies and services.
- **Site-based civic integration activities** – Local trips outside the classroom to sites and landmarks of historical and/or cultural significance, libraries, museums, other local public entities, and organizations that promote public safety, etc.;
- **Classroom-based civic integration activities** – Activities that may involve guest speakers such as local civic leaders and public servants to provide the opportunity for student interaction and exchange, or the use of multimedia and/or materials-based methods that promote an enhanced understanding of key moments in U.S. history and/or the form and function of local, state and federal government;
- **Civic participation** – Activities that encourage students to take participatory learning beyond the classroom by attending a public meeting, volunteering, or participating in local civic institutions; and
- **Other integration activities** – Activities that promote in-depth understanding of the student's role as a future citizen of the United States, including the rights and responsibilities of citizenship; our shared American history; government functions, structure and laws; geography; and traditions, symbols and holidays. These can be external or in-class.

#### **4. Provision of free naturalization application services, within the scope of the authorized practice of immigration law.**

Grant recipients must provide services at no cost to the applicant to support the naturalization application submission and interview process. Naturalization application services can only be

provided to program beneficiaries who have had a full assessment of their integration needs and have a completed integration plan. Proposed Services must include:

- The provision of naturalization eligibility screening for a minimum of 175 program beneficiaries;
- The preparation and submission of Form N-400 with Form G-28, Notice of Entry of Appearance as Attorney or Accredited Representative, over the 2-year period of performance for a minimum of 150 program beneficiaries who entered the United States under USRAP or were granted asylum (USCIS recognizes that not all program beneficiaries who received other integration services under this grant will be ready to apply for naturalization during the period of performance);
- The provision of ongoing immigration case management;
- The use of a U.S. Department of Justice (DOJ) Office of Legal Access Programs (OLAP) Accredited Representative (partial accreditation is acceptable) or an attorney who is an employee of the applicant organization or the sub-awardee organization. (Pro bono or volunteer attorneys may be used to supplement the program but may not serve as the applicant's sole provider of immigration legal services, nor serve as a supervisor to staff members who are not accredited representatives and provide such services.) Attorneys and DOJ-accredited representatives must sign Form N-400 as the preparer and submit Form G-28 with each Form N-400 filed under this grant, preferably submitted through the USCIS online account at [my.uscis.gov](https://my.uscis.gov).<sup>1</sup> When filing the N-400 application online, users can receive automatic case status updates, find information on when an interview may be scheduled, view notices, submit and respond to a request for evidence, and engage in secure communication with USCIS. Grantees are strongly encouraged to file forms electronically whenever possible. In the case that online filing is not a viable option, grantees are required to mail the naturalization application package to USCIS on behalf of the client. Applications may not be given to the client to mail. The legal service provider is required to file the application on the client's behalf. The applicant must demonstrate an established process in place to refer individuals with complex immigration matters beyond the scope of the services provided under this grant to a qualified attorney.

Services may include interview preparation, a representative's appearance at the naturalization interview, responding to a Request for Evidence (RFE), and filing other forms or documents (such as Form N-648, Medical Certification for Disability Exceptions), if applicable. Naturalization legal services may be delivered by either the primary applicant or a sub-awardee organization.

### **Partnerships**

Partnerships are encouraged to ensure that the largest array of integration services is available to clients. Applicants may include partnerships with other public or non-profit organizations in their proposal. The principal applicant must provide direct services under this grant, and specifically must provide citizenship instruction and/or naturalization application services. The principal applicant may directly provide or refer program beneficiaries to a partner to provide civics-based literacy and civics-based ESL instruction. The principal applicant may directly provide or make referrals for other integration services identified in the program beneficiary's individual integration plan. A partner organization is considered a "sub-awardee" if the organization will receive a portion of the grant funding to provide additional or complementary services within the scope of this grant.

---

<sup>1</sup> At grant-supported group processing events, a Form G-28 may not be required if the *pro bono* attorney filling out the Form N-400 determines that the services provided would not be considered "practice" or "preparation", as those terms are defined in 8 C.F.R. 1.2. Such *pro bono* attorneys, however, will be required to complete the preparer section of the N-400.

The applicant must complete a Memorandum of Understanding (MOU) with any prospective sub-awardee and submit it with the application. The applicant must clearly demonstrate how it will monitor the prospective sub-awardee's performance and ensure that the prospective sub-awardee complies with all grant award conditions and data reporting requirements. The MOU must state the minimum number of program beneficiaries to whom the sub-awardee will provide services; and how grant funding will be allocated to fund the services. Prospective sub-awardees must have their own individual DUNS number and will be responsible for financial and performance reporting. Sub-awardees must submit their reports to the primary applicant for submission to DHS.

## **10. Performance Metrics**

For this grant program, grant recipients will be evaluated based on the following metrics:

- The completion, implementation, and monitoring of integration plans for all enrolled program beneficiaries (minimum of 200);
- The number of newly enrolled non-duplicated program beneficiaries in citizenship instruction classes (minimum of 150);
- Percentage of enrolled students who post-test using nationally approved standardized tests suitable to assess English language proficiency (minimum of 80%);
- Percentage of post-tested students demonstrating measurable educational gains (minimum 80%);
- Number of program beneficiaries for whom your organization will conduct naturalization eligibility screenings (minimum of 175); and
- Number of program beneficiaries for whom your organization will prepare and submit a Form N-400 and a Form G-28 (minimum of 150).

## **B. Federal Award Information**

- 1. Available Funding for the NOFO:** Approximately \$1.8 million
- 2. Projected number of Awards:** Up to 6
- 3. Period of Performance:** 24 months (comprising two 1-year budget periods)  
Note extensions are permitted. See Section H. Additional Information, Period of Performance Extensions, for more information.
- 4. Projected Period of Performance Start Date(s):** 10/01/2021
- 5. Projected Period of Performance End Date(s):** 09/30/2023
- 6. Funding Instrument Type:** Grant

## **C. Eligibility Information**

### **1. Eligible Applicants**

The following entities are eligible to apply to this announcement:

- a. City or township governments
- b. County governments
- c. Independent school districts
- d. Indian/Native American tribal governments (federally recognized)
- e. Indian/Native American tribal organizations (other than federally recognized tribal governments)
- f. Indian/Native American Tribally Designated Organization
- g. Public/Indian Housing Authority
- h. Nonprofits with 501(c)(3) IRS status, other than institutions of higher education



- i. Private institutions of higher education
- j. Public & state-controlled institutions of higher education
- k. Special district governments
- l. State governments

## 2. Applicant Eligibility Criteria

To be eligible for this program, applicants and sub-awardees must meet the below requirements.

- Applicants and any proposed sub-awardees must be organizations with public or non-profit status.
- Applicants and any proposed sub-awardees must demonstrate extensive experience with and knowledge of the provision of ongoing integration services to the proposed population and describe why the applicant is uniquely suited to serve this particular population.
- Applicants must demonstrate a network of local service providers with whom the applicant has an established and ongoing relationship for the provision of any proposed integration services that will not be provided directly by the applicant.
- At the time of application, applicants and/or any sub-awardees that propose to provide civics-based literacy, civics-based ESL, and citizenship instruction must have at least 1 year of experience within the past three years providing direct service ESL instruction in a classroom setting or since March 2020 in a virtual setting that follows a curriculum and utilizes a textbook.
- Applicants and/or any sub-awardees that propose to provide naturalization application services must have at least one year of experience in the past three years providing naturalization application services within the authorized practice of immigration law to clients, and must at the time of application:
  - Be recognized by the DOJ's OLAP and employ at least one DOJ -accredited representative employee (partial accreditation is acceptable) with experience providing clients with naturalization representation; OR
  - Have at least one attorney on staff as a paid employee with experience providing clients with naturalization representation.

### **Not Eligible**

For-profit law firms and attorneys in private practice are not eligible to receive funding under this funding opportunity. (See the Glossary for the definitions of “representation” and “employee.”)

Current awardees and sub-awardees under the FY 2020 Citizenship and Assimilation Grant Program (funding opportunities DHS-20-CIS-010-002 and DHS-20-CIS-010-003) are not eligible to receive funding under this funding opportunity. Applicants to Citizenship and Integration Grant Program, Citizenship Instruction and Naturalization Services, DHS-21-CIS-010-002, are not eligible to apply to this program. Applicants may only submit one proposal in response to the FY 2021 Citizenship and Integration Grant Program. **USCIS will not consider two applications submitted by the same organization in response to the two FY 2021 NOFOs. Failure to follow this directive will result in both applications being removed from consideration.**

If DHS determines at any point during the review process that an application does not meet these eligibility requirements, the application will be removed from further consideration.

### **Non-Responsive Applications**

Your application will be deemed non-responsive if:

1. Form SF-424 – *Application for Federal Assistance* is missing.

2. Form SF-424A – *Budget* is missing.
3. Form SF-424B – *Assurances - Non-Construction Programs* is missing.
4. The applicant’s Authorized Organization Representative (AOR) is not registered in SAM.
5. The application does not include a Budget Narrative or a Budget Table.
6. The application does not include a Project Narrative.

**3. Maintenance of Effort (MOE)**

There is no MOE requirement for this program. Requests for funds under this announcement must not be used to take the place of activities described in the application that are currently supported with other funding. Also, grant funds must not be used to support activities that are a normal part of the organization’s operations.

**3. Cost Share or Match**

There is a **minimum cost share requirement for this program of 10%** of the total requested funding amount. Applicants should clearly identify which budget items are to be supported by federal grant funding and which are to be supported by in-kind contributions and/or other funding sources, along with an estimate of the value of these non-federal funding sources. All proposed cost share contributions must be clearly described in the budget portion of the proposal. Applicants will be scored on this during the technical review.

Acceptable forms of cost share items include:

- Volunteer services: Rates for volunteer services must be consistent with those paid for similar work.
- Employee time: Time employee’s regular rate of pay.
- Supplies: Fair market value of the supplies at the time of donation.
- Cash contributions: Cash value.
- Equipment, building, or land: Fair market value or rental value at the time of application, as established by an independent appraisal.
- Project co-funding: Actual cost incurred.

**D. Application and Submission Information**

**1. Key Dates and Times**

- |  |  |
|--|--|
| <b>a. Application Start Date:</b>          | 05/17/2021   |
| <b>b. Application Submission Deadline:</b> | 07/16/2021 at 11:59:59 p.m.<br>Eastern Daylight Time |
| <b>c. Anticipated Award Date:</b>          | No later than 9/30/2021                              |

NOTE: The application must be received in Grants.gov by the date and time listed above. If an application is received after the deadline, it will not be considered. Applicants will receive a confirmation from Grants.gov once the application is successfully submitted.

All applications are time stamped by the Grants.gov system when submitted and recipients are notified accordingly. The federal office will download all applications that are received by the deadline date and time as indicated on the NOFO. **Late applications will not be accepted.**

**d. Other Key Dates**

<b>Event</b>	<b>Suggested Deadline for Completion</b>
Obtaining DUNS Number	Four weeks before actual submission deadline

Obtaining a valid Employer ID Number (EIN)	Four weeks before actual submission deadline
Updating SAM registration	Four weeks before actual submission deadline
Starting application in Grants.gov	Four weeks before actual submission deadline

**2. Agreeing to Terms and Conditions of the Award**

By submitting an application, applicants agree to comply with the requirements of this NOFO and the terms and conditions of the award, should they receive an award.

**3. Address to Request Application Package**

Application forms and instructions are available at Grants.gov. To access these materials, go to <https://www.grants.gov/>, select “Applicants” then “Apply for Grants. In order to obtain the application package, select “Download a Grant Application Package.” Enter the CFDA and/or funding opportunity number located on the cover of this NOFO, select “Download Package,” and then follow the prompts to download the application package.

For a hard copy of the full NOFO, please write or fax a request to:  
 Jacqueline Greely  
 Grants Officer  
 CISMailbox@hq.dhs.gov  
 Fax: 202-447-5600

If you use assistive technology and are unable to access any materials on Grants.gov, please email the Support Center at [support@grants.gov](mailto:support@grants.gov).

Applications will be processed through the Grants.gov portal. If you experience difficulties accessing information or have any questions, please call Grants.gov customer support at 1-800-518-4726.

**4. Unique Entity Identifier, and System for Award Management (SAM)**

To apply for an award under this program, all applicants must:

- a. Apply for, update, or verify their DUNS number from Dun & Bradstreet and Employer ID Number (EIN);
- b. In the application, provide a valid DUNS number, which is currently the unique entity identifier;
- c. Have an account with [login.gov](https://login.gov);
- d. Register for, update, or verify their SAM account and ensure the account is active before submitting the application;
- e. Create a Grants.gov account;
- f. Add a profile to a Grants.gov account;
- g. Establish an Authorized Organizational Representative (AOR) in Grants.gov;
- h. Submit an application in Grants.gov;
- i. Continue to maintain an active SAM registration with current information, including information on a recipient’s immediate and highest level owner and subsidiaries, as well on all predecessors that have been awarded a federal contract or grant within the last three years, if applicable, at all times during which it has an active federal award or an application or plan under consideration by a federal awarding agency.

Applicants are advised that DHS may not make a federal award until the applicant has complied with all applicable DUNS and SAM requirements. Therefore, an applicant's SAM registration must be active not only at the time of application, but also during the application review period and when DHS is ready to make a federal award. Further, as noted above, an applicant's or recipient's SAM registration must remain active for the duration of an active federal award. If an applicant's SAM registration is expired at the time of application, expires during application review, or expires any other time before award, DHS may determine that the applicant is not qualified to receive a federal award and use that determination as a basis for making a federal award to another applicant.

## 5. Electronic Delivery

DHS is participating in the Grants.gov initiative to provide the grant community with a single site to find and apply for grant funding opportunities. For this funding opportunity, DHS requires applicants to submit their applications online through Grants.gov.

## 6. How to Register to Apply through Grants.gov

- a. *Instructions:* Registering in Grants.gov is a multi-step process. Read the instructions below about registering to apply for DHS funds. Applicants should read the registration instructions carefully and prepare the information requested before beginning the registration process. Reviewing and assembling the required information before beginning the registration process will alleviate last-minute searches for required information.

The registration process can take up to 4 weeks to complete. Therefore, registration should be done in sufficient time to ensure it does not impact your ability to meet required application submission deadlines.

Organizations must have a DUNS number, active SAM registration, and Grants.gov account to apply for grants. If individual applicants are eligible to apply for this grant funding opportunity, then you may begin with step 3, Create a Grants.gov account, listed below.

Creating a Grants.gov account can be completed online in minutes, but DUNS and SAM registrations may take several weeks. Therefore, an organization's registration should be done in sufficient time to ensure it does not impact the entity's ability to meet required application submission deadlines. Complete organization instructions can be found on Grants.gov here: <https://www.grants.gov/web/grants/applicants/organization-registration.html>.

- 1) *Obtain a DUNS Number:* All entities applying for funding, including renewal funding, must have a DUNS number from Dun & Bradstreet. Applicants must enter the DUNS number in the data entry field labeled "Organizational DUNS" on the SF-424 form.

For more detailed instructions for obtaining a DUNS number, refer to:

<https://www.grants.gov/web/grants/applicants/organization-registration/step-1-obtain-duns-number.html>.

- 2) *Register with SAM:* All organizations applying online through Grants.gov must register with SAM at sam.gov. Failure to register with SAM will prevent your organization from applying through Grants.gov. SAM registration must be renewed annually.

For more detailed instructions for registering with SAM, refer to:  
<https://www.grants.gov/web/grants/applicants/organization-registration/step-2-register-with-sam.html>.

- 3) *Create a Grants.gov Account*: The next step is to register an account with Grants.gov. Follow the on-screen instructions or refer to the detailed instructions here:  
<https://www.grants.gov/web/grants/applicants/registration.html>.
- 4) *Add a Profile to a Grants.gov Account*: A profile in Grants.gov corresponds to a single applicant organization the user represents (that is, an applicant) or an individual applicant. If you work for or consult with multiple organizations and have a profile for each, you may log in to one Grants.gov account to access all of your grant applications. To add an organizational profile to your Grants.gov account, enter the DUNS number for the organization in the DUNS field while adding a profile.

For more detailed instructions about creating a profile on Grants.gov, refer to:  
<https://www.grants.gov/web/grants/applicants/registration/add-profile.html>.

- 5) *EBiz POC Authorized Profile Roles*: After you register with Grants.gov and create an Organization Applicant Profile, the organization applicant's request for Grants.gov roles and access are sent to the EBiz POC. The EBiz POC will then log in to Grants.gov and authorize the appropriate roles, which may include the AOR role, thereby giving you permission to complete and submit applications on behalf of the organization. You will be able to submit your application online any time after you have been assigned the AOR role.

For more detailed instructions about creating a profile on Grants.gov, refer to:  
<https://www.grants.gov/web/grants/applicants/registration/authorize-roles.html>.

- 6) *Track Role Status*: To track your role request, refer to:  
<https://www.grants.gov/web/grants/applicants/registration/track-role-status.html>.
- 7) *Electronic Signature*: When applications are submitted through Grants.gov, the name of the organization applicant with the AOR role that submitted the application is inserted into the signature line of the application, serving as the electronic signature. The EBiz POC **must** authorize people who are able to make legally binding commitments on behalf of the organization as a user with the AOR role; **this step is often missed, and it is crucial for valid and timely submissions.**

## 7. How to Submit an Application to DHS via Grants.gov

Grants.gov applicants can apply online using Workspace. Workspace is a shared, online environment where members of a grant team may simultaneously access and edit different webforms within an application. For each NOFO, you can create individual instances of a workspace.

Below is an overview of the Grants.gov application process. For access to complete instructions on how to apply for opportunities using Workspace, refer to:  
<https://www.grants.gov/web/grants/applicants/workspace-overview.html>.

- a. *Create a Workspace*: Creating a workspace allows you to complete it online and route it through your organization for review before submitting.

- b. *Complete a Workspace:* Add participants to the workspace to work on the application together, complete all the required forms online or by downloading PDF versions, and check for errors before submitting. The Workspace progress bar will display the state of your application process as you apply. As you apply using Workspace, you may click the blue question mark icon near the upper-right corner of each page to access context-sensitive help.
- c. *Adobe Reader:* If you decide not to apply by filling out webforms, you can download individual PDF forms in Workspace. The individual PDF forms can be downloaded and saved to your local device storage, network drive, or external drive, then accessed through Adobe Reader.

NOTE: Visit the Adobe Software Compatibility page on Grants.gov to download the appropriate version of the software at: <https://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html>.

- d. *Mandatory Fields in Forms:* In the forms, you will note fields marked with an asterisk and a different background color. These fields are mandatory fields that must be completed to successfully submit your application.
- e. *Complete SF-424 Fields First:* The forms are designed to fill in common required fields across other forms, such as the applicant name, address, and DUNS number. To trigger this feature, an applicant must complete the SF-424 information first. Once it is completed, the information will transfer to the other forms.
- f. *Submit a Workspace:* An application may be submitted through workspace by clicking the Sign and Submit button on the Manage Workspace page, under the Forms tab. Grants.gov recommends submitting your application package at least 24-48 hours prior to the close date to provide you with time to correct any potential technical issues that may disrupt the application submission.
- g. *Track a Workspace Submission:* After successfully submitting a workspace application, a Grants.gov tracking number (GRANTXXXXXXXX) is automatically assigned to the application. The number will be listed on the Confirmation page that is generated after submission. Using the tracking number, access the Track My Application page under the Applicants tab or the Details tab in the submitted workspace.

For additional training resources, including video tutorials, refer to:  
<https://www.grants.gov/web/grants/applicants/applicant-training.html>.

- h. *Applicant Support:* Grants.gov provides applicants 24/7 support via the toll-free number 1-800-518-4726 and email at [support@grants.gov](mailto:support@grants.gov). For questions related to the specific grant opportunity, contact the number listed in the application package of the grant you are applying for.

If you are experiencing difficulties with your submission, it is best to call the Grants.gov Support Center and get a ticket number. The Support Center ticket number will assist DHS with tracking your issue and understanding background information on the issue.

## **8. Timely Receipt Requirements and Proof of Timely Submission**

All applications must be received by 11:59:59 p.m. Eastern Daylight time on the due date established for each program. Proof of timely submission is automatically recorded by Grants.gov. An electronic date/time stamp is generated within the system when the application is successfully received by Grants.gov. The applicant with the AOR role who submitted the application will receive an acknowledgement of receipt and a tracking number (GRANTXXXXXXXX) from

Grants.gov with the successful transmission of their application. This applicant with the AOR role will also receive the official date/time stamp and Grants.gov tracking number in an email serving as proof of their timely submission.

When DHS successfully retrieves the application from Grants.gov and acknowledges the download of submissions, Grants.gov will provide an electronic acknowledgment of receipt of the application to the email address of the applicant with the AOR role who submitted the application. Again, proof of timely submission shall be the official date and time when Grants.gov receives your application. Applications received by Grants.gov after the established due date for the program will be considered late and will not be considered for funding by DHS.

Applicants using slow internet, such as dial-up connections, should be aware that transmission can take some time before Grants.gov receives your application. Again, Grants.gov will provide either an error or a successfully received transmission in the form of an email sent to the applicant with the AOR role attempting to submit the application. The Grants.gov Support Center reports that some applicants end the transmission because they think that nothing is occurring during the transmission process. Please be patient and give the system time to process the application.

## **9. Content and Form of Application Submission**

See Appendix for a list of required documents for this application, forms, and formats. No pre-applications or letters of intent are required to apply.

As discussed in the eligibility section of this NOFO, current awardees and sub-awardees under the FY 2020 Citizenship and Assimilation Grant Program (funding opportunities DHS-20-CIS-010-002 and DHS-20-CIS-010-003) are not eligible to receive funding under this funding opportunity. Applicants to Citizenship and Integration Grant Program, Citizenship Instruction and Naturalization Services, DHS-21-CIS-010-002, are not eligible to apply to this program. Applicants may only submit one proposal in response to the FY 2021 Citizenship and Integration Grant Program. USCIS will not consider two applications submitted by the same organization in response to the two FY 2021 NOFOs. Failure to follow this directive may result in both applications being removed from consideration.

## **10. Other Submission Requirements**

DHS is participating in the Grants.gov initiative to provide the grant community with a single site to find and apply for grant funding opportunities. DHS requires applicants to submit their applications online through Grants.gov. Applications that are not submitted through Grants.gov by the deadline will not be considered.

## **11. Intergovernmental Review (Include if applicable)**

An intergovernmental review may be required. Applicants must contact their state's Single Point of Contact (SPOC) to comply with the state's process under Executive Order 12372.

(See <https://www.archives.gov/federal-register/codification/executive-order/12372.html>; <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>).

## **12. Funding Restrictions**

### ***Eligible Funding Purposes***

DHS grant funds may only be used for the purposes set forth in the agreement and must be consistent with the statutory authority for the award. Grant funds may be used for the following purposes:

1. Providing services to **program beneficiaries**, regardless of race, color, religion, sex, or national origin.
2. Resources to support civics-based literacy, civics-based ESL instruction, and citizenship instruction, including staff salaries, textbooks/ materials, nationally normed standardized assessment tests, software, etc.
3. Professional development and training for staff and/or volunteers related to the provision of civics-based literacy, civics-based ESL instruction, and citizenship instruction and/or naturalization application services.
4. Facility rental costs **not** to exceed more than 20% of the total approved budget.
5. Resources to support naturalization application services including staff salaries, case management systems, costs associated with DOJ recognition of organizations and accreditation (or renewal) of staff. This includes training costs related to DOJ recognition and accreditation.
6. Transportation costs for students attending grant-funded classes.
  - a) Transportation funds provided to students must match the student's individual transportation costs.
  - b) Applicants must have a system to track how transportation funds are used.
7. Reimbursement of transportation costs for volunteers participating in grant-funded activities. Volunteers may **not** receive a general transportation stipend.
  - a) Transportation funds provided to volunteers must match the volunteers' individual transportation costs.
  - b) Applicants must have a system to track how transportation funds are used.
8. Childcare costs to assist eligible participants to attend grant-funded classes.
9. Equipment purchases directly related to the provision of services.
10. Costs associated with the use of computers for citizenship instruction (for example, computer equipment, internet access, electronic tablets, etc.).

### ***Ineligible Funding Purposes***

Grant funds may **not** be used for the following purposes:

1. Cost-sharing or matching funds for other federal grants, lobbying, or intervention in federal regulatory or adjudicatory proceedings. In addition, federal funds may **not** be used to sue the federal government or any other government entity.
2. USCIS application fees.
3. Costs of organized fundraising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions.
4. Profit/Fee is not allowable except when subcontracting for routine goods and services with commercial organizations.
5. Foreign travel.
6. Construction costs and purchase of real property under this funding opportunity.
7. Pre-award costs.
8. Funding for direct reimbursement of proposal development.
9. Costs for food or refreshments.
10. Incentive items or gift cards.
11. General volunteer stipends.
12. Living allowances for any national volunteer service program participants.
13. Fees for conferences that are not considered training events.

### **13. Allowable Costs**

#### **a. Pre-Award Costs (if applicable)**

Pre-Award Costs are not allowed.



**b. Management and Administration (M&A) Costs** *(if applicable)*

Management and Administration Costs are allowable for the grantee and any proposed sub-awardee (if applicable). For more information on allowable costs, please see Funding Restrictions (above).

**c. Indirect Facilities and Administrative (F&A) Costs**

Indirect Costs are allowable for the grantee and any proposed sub-awardee (if applicable). The applicant must **attach a copy of the latest indirect cost rate agreement** negotiated with a cognizant federal agency. Any non-federal entity that has never received a negotiated indirect cost rate (except for those non-federal entities described in [Appendix VII to 2 C.F.R. § 200](#) States and Local Government and Indian Tribe Indirect Cost Proposals, paragraph D.1.b) will be subject to a de minimis rate of 10% of modified total direct costs (MTDC) which may be used indefinitely. As described in 2 C.F.R. [§ 200.403](#) Factors Affecting Allowability of Costs, costs must be consistently charged as either indirect or direct costs, but may not be double charged or inconsistently charged as both. If chosen, this methodology once elected must be used consistently for all federal awards until such time as a non-federal entity chooses to negotiate for a rate, which the non-federal entity may apply to do at any time. For more information, see [2 C.F.R. § 200.414](#).

**d. Distance Learning**

Classes may use alternative instructional delivery methods to provide accessibility to students enrolled under this grant program.

**E. Application Review Information**

**1. Application Evaluation Criteria**

Prior to making a federal award, the federal awarding agency is required by 31 U.S.C. § 3321 and 41 U.S.C. § 2313 to review information available through any OMB-designated repositories of government-wide eligibility qualification or financial integrity information. Therefore, application evaluation criteria may include the following risk-based considerations of the applicant:

1. Financial stability;
2. Quality of management systems and ability to meet management standards;
3. History of performance in managing a federal award;
4. Reports and findings from audits; and
5. Ability to effectively implement statutory, regulatory, or other requirements.

**a. Programmatic Criteria**

DHS will use the following criteria to evaluate applications deemed eligible and responsive. Applicants can receive up to 100 points.

**1) Community Need (10 Points)**

The extent to which the applicant articulates and describes:

- The population it currently serves with integration services. (4 points)
- Knowledge and understanding of the particular integration service needs of the population the applicant plans to service. (3 points)
- The extent to which integration needs are unmet within the community it plans to service and how the grantee will ensure the target population are made aware of the services to be provided under the grant. (3 points)

**2) Integration Plan (20 Points)**

The extent to which the applicant articulates and describes:

- Detailed intake procedures to include verification and documentation of

program beneficiary eligibility<sup>2</sup> for services funded through this funding opportunity, as well as how you will assess each individual's integration needs. (3 points)

- The detailed sample integration plan which will be assessed for quality, and efficacy as a tool to develop a plan for enrolled clients. Plans must include naturalization; and literacy and language but should cover a wide variety of integration needs such as financial literacy and self-sufficiency; and educational and professional goals. (10 points)
- How the applicant will monitor the implementation of, and track progress achieved within an individual integration plan for all program beneficiaries served under the program, including how the English level and naturalization eligibility will be assessed to ensure accurate class placement and appropriate service provision. (7 points)

### 3) **Integration Services (25 Points)**

The extent to which the applicant articulates and describes:

- Recent (in the past 5 years) qualifications and experience providing structured and ongoing integration services to program beneficiaries. (10 points)
- The types of integration services the applicant intends to directly provide that meet the stated unmet integration needs of the proposed population (10 points)
- The applicant's ability to access a network of experienced local service providers with which it has a relationship for the provision of any proposed integration services that it does not directly provide. (5 points)

### 4) **Civics-based Literacy, Civics-based ESL, and Citizenship Instruction Program (25 Points)**

The extent to which the applicant proposes high quality instruction programs to meet the civics and citizenship education needs of the target population, including:

- Recent (in the past 3 years) and extensive (a minimum of 1 year) experience providing citizenship instruction to the target population (3 points);
- Recent (in the past 3 years) and extensive (a minimum of 1 year) experience providing ESL instruction to the target population (3 points);
- High quality service delivery, curriculum with the use of a textbook, and class structure (10 points);
- A description of the student assessment process, including a description of the specific nationally normed standardized test of English that will be used and the organization's recent experience with administering this test. Please describe the staff person who will be conducting the assessments and the training and certification they have received (4 points); and
- The experience and qualifications of the proposed instructor(s) (5 points).

---

<sup>2</sup> Only those who entered/were admitted to the U.S. as refugees, asylees, Cuban or Haitian entrants, or on a Special Immigrant Visa are eligible to receive services under this program.

**DHS requires that all applicants demonstrate experience providing naturalization application services within the authorized practice of immigration law. Applications that fail to demonstrate this experience will be ineligible for award.**

**5) Naturalization Application Services Program (15 Points)**

The extent to which the applicant demonstrates:

- Recent experience providing naturalization application services within the authorized practice of immigration law (5 points);
- High quality service delivery and immigration case management (5 points); and
- Qualified and experienced personnel (as described in the project narrative requirements) (5 points).

**6) Cost Effectiveness and Balance (5 Points)**

The extent to which the applicant's:

- Proposed budget is tied to the delivery of high-quality integration services and demonstrates adequate funding for the hiring of qualified program staff; (2 points); and
- The extent to which the applicant's proposed budget demonstrates an effort to satisfy the 10% cost share requirement. (3 points)

**b. Financial Integrity Criteria**

Prior to making a federal award, DHS Grants and Financial Assistance Division is required by 31 U.S.C. § 3321 note, 41 U.S.C. § 2313, and 2 C.F.R. § 200.205 to review information available through any OMB-designated repositories of government wide eligibility qualification or financial integrity information. Therefore, application evaluation criteria may include the following risk-based considerations of the applicant:

- 1) Financial stability.
- 2) Quality of management systems and ability to meet management standards.
- 3) History of performance in managing federal award.
- 4) Reports and findings from audits.
- 5) Ability to effectively implement statutory, regulatory, or other requirements.

**c. Supplemental Financial Integrity Criteria and Review**

Prior to making a federal award where the anticipated total federal share will be greater than the simplified acquisition threshold, currently \$250,000 (see Section 805 of the National Defense Authorization Act for Fiscal Year 2018, Pub. L. No. 115-91, OMB Memorandum M-18-18 at <https://www.whitehouse.gov/wp-content/uploads/2018/06/M-18-18.pdf>):

- 1) DHS Grants and Financial Assistance Division is required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM, which is currently the [Federal Awardee Performance and Integrity Information System](#) (FAPIIS) and is accessible through the [SAM](#) website.
- 2) An applicant, at its option, may review information in FAPIIS and comment on any information about itself that a federal awarding agency previously entered.
- 3) DHS Grants and Financial Assistance Division will consider any comments by the applicant, in addition to the other information in FAPIIS, in making a judgment about the applicant's integrity, business ethics, and record of performance under federal awards when completing the review of risk posed by applicants as described in 2 C.F.R. § 200.205.

## **2. Review and Selection Process**

DHS will conduct an initial review of applications to determine the responsiveness of the application. If an applicant is determined to be ineligible (see section C. Eligibility Information) or an application is determined to be non-responsive, DHS will notify the applicant. All responsive and eligible applications will be reviewed as described below:

- a.** DHS will assemble reviewers which may include both federal and non-federal reviewers to review the eligible applications. Reviews of submitted applications will be conducted either on site or by remote review.
- b.** Teams of technical reviewers will review each eligible application against the evaluation criteria. The reviewers will assign a score and provide summary comments based on the evaluation criteria identified above.
- c.** An application may be selected for post-review quality control and possible rescoring if it received significantly diverging scores and comments from reviewers.
- d.** An internal review panel consisting of DHS staff will review the highest ranked applications and make final funding recommendations. The internal review panel may take applications out of rank order in consideration of strategic program priorities, which are identified below.
- e.** DHS may perform an additional review of the applicant organization and any sub-awardees and/or its key personnel. This may include reviewing audit reports, publicly available materials, and/or government data which may have a bearing on award outcome. DHS may request additional materials from the applicant as part of this review, including:
  - 1) The summary letter from the applicant's most recent audit report;
  - 2) Documentation of previous grant award completion that includes the name of the grantor, amount awarded, and whether the grant recipient sufficiently completed the requirements of the grant award (for example, a final close-out report, certification of grant award completion, etc.);
  - 3) A pre-award site visit or investigation to determine and validate current services and proposed activities; and
  - 4) A site visit conducted in the case of a contingent award in order to evaluate the organization's ability to satisfy the programmatic and administrative requirements of the grant. DHS reserves the right to not pursue the completion of the award process if preliminary investigations and/or site visits do not provide sufficient evidence of organizational capacity to successfully administer the proposed grant.
- f.** After the technical review and before making final funding decisions, DHS will contact the highest ranking applicants to seek clarification and to negotiate technical and programmatic aspects of the application. This will include negotiations on the curriculum content, staffing, budget, and proposed activities. If an application includes a sub-awardee that will provide additional or complementary direct grant-funded services in partnership with the main applicant, DHS may request to speak with all parties included in the application to ensure sufficient planning and coordination has taken place prior to making an award.

- g. Confidentiality and Conflict of Interest. Technical and cost proposals submitted under this NOFO will be protected from unauthorized disclosure in accordance with applicable laws and regulations. DHS may use one or more support contractors in the logistical processing of proposals; however, funding recommendations and final award decisions are solely the responsibility of DHS personnel.

DHS screens all technical reviewers for potential conflicts of interest. To determine possible conflicts of interest, DHS requires potential reviewers to complete and sign conflict of interest and nondisclosure forms. DHS will keep the names of submitting institutions and individuals as well as the substance of the applications confidential except to reviewers and DHS staff involved in the award process. DHS will destroy any unsuccessful applications after 3 years following the funding decision.

- h. DHS strongly discourages, and will not consider, any supplementary materials submitted by or on behalf of the applicant (for example, letters of support) other than those materials specifically requested in this NOFO.
- i. DHS will notify all applicants electronically of funding decisions. Unfunded applicants may send a written request to [citizenshipgrantprogram@uscis.dhs.gov](mailto:citizenshipgrantprogram@uscis.dhs.gov) to receive a written summary of comments related to the evaluation criteria, along with the points awarded to the application for each of the evaluation criteria. DHS will send the written summary to the applicant within 60 days of receipt of the request. Additional information beyond that described here will not be provided.
- j. **Strategic Program Priorities:** Based on the recommendations of the internal review panel, DHS may consider the following factors when making an award:
  - 1) **Program Balance Factors**, including (in descending order of importance):
    - a) Whether an application, when balanced with other potential awards and with existing Citizenship and Integration Grant Program recipients, represents a diverse geographic area;
    - b) Whether an application shows prioritization for underserved or vulnerable populations (e.g., persons with medical disabilities, persons in remote areas, and/or elderly persons);
    - c) Whether an application, when balanced with other potential awards and existing Citizenship and Integration Grant Program recipients, represents a diverse population;
    - d) Whether an applicant is located in a geographic area that is home to a substantial population of program beneficiaries; and
    - e) Whether an application, when balanced against other potential awards with similar scores, incorporates:
      - (1) E-filing of naturalization applications; and/or
      - (2) Use of technology in the citizenship instruction program that would help immigrant students to gain sufficient digital literacy skills to navigate USCIS' educational resources and online application system; and/or
      - (3) Cooperation with local libraries and museums.
  - 2) **The past performance of a previous Citizenship and Integration Grant Program recipient (if applicable)**, including:
    - a) Whether a previous grant recipient achieved their core program goals (number of students enrolled, number of eligibility screenings, number of Forms N-400 filed, percentage of students pre- and post- tested, and percentage of students demonstrating

educational gain). Failure to achieve at or above the following will be considered a failure to meet the goals related to testing and education gains:

- (1) 60% of enrolled students are pre- and post-tested using NRS-approved ESL assessments; and/or
  - (2) 60% of pre- and post-tested students show a measurable gain on the NRS-approved ESL assessments.
- b) Whether a previous grant recipient had major findings during a monitoring visit and failed to resolve them in the prescribed time period;
  - c) Whether a previous grant recipient was placed on restricted drawdown status for cause, and if so, whether they were able to meet the conditions to have this restriction removed; and
  - d) Whether a previous grant recipient demonstrated an ability to file accurate and timely quarterly performance reports.

## **F. Federal Award Administration Information**

### **1. Notice of Award**

Before accepting the award, the AOR and recipient should carefully read the award package. The award package includes instructions on administering the grant award and the terms and conditions associated with responsibilities under federal awards. **Recipients must accept all conditions in this NOFO as well as any special terms and conditions in the Notice of Award to receive an award under this program.**

A grant award will be executed by a DHS Grants Officer authorized to obligate DHS funding. Organizations that are being funded for the first time under this grant program will be placed on restricted drawdown until quarterly performance goals are met. Unsuccessful applicants will be contacted as well and will be encouraged to apply for future grant award programs. Announcements for future grant opportunities will be listed on [Grants.gov](http://Grants.gov).

### **2. Administrative and National Policy Requirements**

All successful applicants for DHS grant and cooperative agreements are required to comply with DHS Standard Terms and Conditions, which are available online at: DHS Standard Terms and Conditions ([www.dhs.gov/publication/fy15-dhs-standard-terms-and-conditions](http://www.dhs.gov/publication/fy15-dhs-standard-terms-and-conditions)).

The applicable DHS Standard Terms and Conditions will be for those in effect at the time the award was made, unless the application is for a continuation of award. In that event, the terms and conditions in effect at the time the original award was made will apply.

Before accepting the award, the AOR should carefully read the award package for instructions on administering the grant award and the terms and conditions associated with responsibilities under federal awards. Recipients must accept all conditions in this NOFO as well as any special terms and conditions in the Notice of Award to receive an award under this program.

Post-award program income: In the event program income becomes available to the recipient post-award, it is the recipient's responsibility to notify the DHS Grants Officer to explain how that development occurred, as part of their request for guidance and/or approval. The Grants Officer will review approval requests for program income on a case-by-case basis; approval is not automatic. Consistent with the policy and processes outlined in 2 C.F.R. Part 200, pertinent guidance and options, as determined by the type of recipient and circumstances involved, may be approved by the Grants Officer. If approval is granted, an award modification will be issued

with an explanatory note in the remarks section of the face page concerning guidance and/or options pertaining to the recipient's approved request. All instances of program income must be listed in the progress and financial reports.

All successful applicants for DHS grant and cooperative agreements are required to comply with DHS Standard Terms and Conditions, which are available online at:

[DHS Standard Terms and Conditions.](#)

The applicable DHS Standard Terms and Conditions will be those in effect at the time the award was made, unless the application is for a continuation award. In that event, the terms and conditions in effect at the time the original award was made will generally apply. What terms and conditions will apply for the award will be clearly stated in the award package at the time of award.

### 3. Reporting

#### a. Federal Financial Reporting Requirements

The recipient must submit a Form SF-425, Federal Financial Report (FFR), to the DHS Grants Officer no later than 30 days after the end of the reporting period end date. The FFR is available online at <https://www.grants.gov/web/grants/forms/post-award-reporting-forms.html>. The FFR shall be submitted via GrantSolutions using the guidance found here:

[www.grantsolutions.gov/support/public/pdf/FederalFinancialReport-RecipientsFinalv2.pdf](http://www.grantsolutions.gov/support/public/pdf/FederalFinancialReport-RecipientsFinalv2.pdf).

The Federal Financial Report (FFR) form is available online at: [SF-425 OMB #4040-0014](#).

#### b. Programmatic Performance Reporting Requirements

The recipient is required to submit the following performance reports:

Quarterly Performance Reports must be submitted to the USCIS Program Office and the DHS Grants Officer within 30 days after the end of each quarter. Reports are due January 30, April 30, July 30, and October 30. Reports must be submitted via the USCIS GrantBook platform and GrantSolutions.gov using the guidance found here:

<https://www.grantsolutions.gov/support/pdf/GrantRecipientProcessPerformanceProgressReport.pdf>.

Grant recipients must collect and report data on each program beneficiary served with grant funding, which may include:

- 1) Alien registration numbers. USCIS may use these numbers to track naturalization outcomes during and after the period of performance;
- 2) Countries of birth;
- 3) When specific services were provided, including client enrollments, naturalization eligibility screenings, referrals for literacy and ESL instruction, and Forms N-400 and G-28 filings;
- 4) Assessment data, including pre- and post-test scores;
- 5) Classes attended, including the number of hours in class;
- 6) When students and clients either passed or failed the naturalization test; and
- 7) When students and clients naturalized.

Grantees are required to collect the data listed above and submit it quarterly to DHS. These data are used to measure and track grantee performance and assess the success of individual programs as well as the collective performance of all grantees. All grantee performance data can be used to determine continued and future USCIS grant funding.

Grant recipients must also collect and report data on citizenship classes provided, which may include:

- 8) Class titles and proficiency levels;
- 9) Dates when classes are held;
- 10) The instructor teaching each class;
- 11) The number of instructional hours provided per class; and
- 12) The number of students enrolled per class.

In addition to collecting these data, grant recipients must also provide quarterly narrative reports. The narrative report may include questions on the following topics: program accomplishments, progress meeting goals, progress of the sub-awardee organization (if applicable), challenges in meeting goals, staff and/or organizational development activities, student assessment and progress, outreach activities, volunteer recruitment and training, staff changes, progress made toward DOJ accreditation, and promising practices.

**c. Closeout Reporting Requirements**

Within 120 days after the end of the period of performance, or after an amendment has been issued to close out a grant, recipients must submit the following:

- 1) The final request for payment, if applicable;
- 2) The final FFR (SF-425);
- 3) The final progress report detailing all accomplishments;
- 4) A qualitative narrative summary of the impact of those accomplishments throughout the period of performance; and
- 5) Other documents required by this NOFO, terms and conditions of the award, or other DHS FAO guidance.

If applicable, an inventory of all construction projects that used funds from this program must be reported with the final progress report.

After these reports have been reviewed and approved by the DHS Financial Assistance Office, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be de-obligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR, unless a longer period applies, such as due to an audit or litigation, for equipment or real property used beyond the period of performance, or due to other circumstances outlined in 2 C.F.R. § 200.334.

In addition, any recipient that issues subawards to any subrecipient is responsible for closing out those subawards as described in 2 C.F.R. § 200.344. Recipients acting as pass-through entities must ensure that they complete the closeout of their subawards in time to submit all necessary documentation and information to DHS FAO during the closeout of their prime grant award.

The recipient is responsible for returning any funds that have been drawn down but remain as unliquidated on recipient financial records.



**d. Disclosing Information per 2 C.F.R. § 180.335**

This reporting requirement pertains to disclosing information related to government-wide suspension and debarment requirements. Before a recipient enters into a grant award with DHS, the recipient must notify DHS if it knows if it or any of the recipient's principals under the award fall under one or more of the four criteria listed at 2 C.F.R. § 180.335:

- 1) Are presently excluded or disqualified;
- 2) Have been convicted within the preceding three years of any of the offenses listed in 2 C.F.R. § 180.800(a) or had a civil judgment rendered against it or any of the recipient's principals for one of those offenses within that time period;
- 3) Are presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses listed in 2 C.F.R. § 180.800(a); or
- 4) Have had one or more public transactions (federal, state, or local) terminated within the preceding 3 years for cause or default.

At any time after accepting the award, if the recipient learns that it or any of its principals falls under one or more of the criteria listed at 2 C.F.R. § 180.335, the recipient must provide immediate written notice to DHS in accordance with 2 C.F.R. § 180.350.

**e. Reporting of Matters Related to Recipient Integrity and Performance (*if applicable*)**

Per 2 C.F.R. Part 200, Appendix I § F.3, the additional post-award reporting requirements in 2 C.F.R. Part 200, Appendix XII may apply to applicants who, if upon becoming recipients, have a total value of currently active grants, cooperative agreements, and procurement contracts from all federal awarding agencies that exceeds \$10 million for any period of time during the period of performance of an award under this funding opportunity. Recipients that meet these criteria must maintain current information reported in FAPIIS about civil, criminal, or administrative proceedings described in paragraph 2 of Appendix XII at the reporting frequency described in paragraph 4 of Appendix XII.

**4. Monitoring and Oversight**

Per 2 C.F.R. § 200.329, DHS, through its authorized representatives, has the right, at all reasonable times, to conduct desk reviews, make site visits to review project accomplishments and management control systems to review project accomplishments and to provide any required technical assistance. During site visits, DHS staff will review grant recipients' files related to the grant award. As part of any monitoring and program evaluation activities, grant recipients must permit DHS staff, upon reasonable notice, to review grant-related records and to interview the organization's staff and contractors regarding the program. Recipients must respond in a timely and accurate manner to DHS staff requests for information relating to the grant program.

If the monitoring visit results in a determination that basic, minimum requirements as outlined in the Notice of Funding Opportunity are not being met, DHS may require corrective actions and/or initiate termination of the award.

## **G. DHS Awarding Agency Contact Information**

### **Contact and Resource Information**

#### **USCIS Program Office (Office of Citizenship):**

Send all questions to [citizenshipgrantprogram@uscis.dhs.gov](mailto:citizenshipgrantprogram@uscis.dhs.gov).

USCIS Office of Citizenship staff will respond within 5 business days. You may also refer to a list of Questions and Answers found at [www.uscis.gov/grants](http://www.uscis.gov/grants). This document will be available a few days after the posting of this NOFO.

**DHS Grants Office:** Send all questions to the Grants Officer at [CISMailbox@hq.dhs.gov](mailto:CISMailbox@hq.dhs.gov)

## **H. Additional Information**

Applicants will obtain NOFO overviews and full announcement information from the Grants.gov website where the full NOFO is posted. Applications will be processed through the Grants.gov portal.

### **a. Period of Performance Extensions**

Applicants may request a no-cost extension in order to complete all project activities. The request must be submitted 45 days prior to the expiration of the performance period. Requests for extensions are subject to approval by the DHS Grants and Financial Assistance Grants Officer.

### **b. Appendices**

For information on additional required documents, and the format of the narrative application please see Appendix.

- 1) For definitions of frequently used terms in this NOFO, visit [www.uscis.gov/grants](http://www.uscis.gov/grants) and click on **FY 2021 Glossary**.
- 2) Applicants are also encouraged to review the **FY 2021 Guidance for Preparing Your Proposal** at [www.uscis.gov/grants](http://www.uscis.gov/grants).
- 3) Before submitting your application, use the Applicant Checklist to ensure your application is complete and all required documents are included. Visit [www.uscis.gov/grants](http://www.uscis.gov/grants) and click on **FY 2021 Applicant Checklist**.

### **Disclosure: Risk Assessment Evaluation**

DHS staff will evaluate the risks to the program posed by each highly ranked applicant, including conducting due diligence to ensure an applicant's ability to manage federal funds. This evaluation is in addition to the evaluation of the applicant's eligibility and the quality of its application on the basis of the Selection Criteria, and results from this evaluation may inform funding decisions. This evaluation may include a pre-award site visit, and organizations which have not received prior DHS Grants and Financial Assistance Division (GFAD) awards may be required to complete a risk assessment questionnaire as part of the pre-award financial and administrative review. If an award is made, DHS may apply special conditions that correspond to the degree of risk of the award.

In evaluating risk, DHS may consider the following:

- Financial stability;
- Quality of management systems and ability to meet the management standards prescribed in applicable OMB Guidance;
- Applicant's record in managing previous DHS awards, cooperative agreements, or procurement awards, including:
  1. Timeliness of compliance with applicable reporting requirements.

2. Accuracy of data reported.
3. Conformance to the terms and conditions of previous federal awards.
4. If applicable, the extent to which any previously awarded amounts will be expended prior to future awards.
5. Information available through OMB-designated repositories of government-wide eligibility qualification or financial integrity information, such as: Federal Awardee Performance and Integrity Information System (FAPIIS), DUNS and SAM.
6. Reports and findings from single audits performed under Subpart F – Audit Requirements, 2 C.F.R. Part 200 and findings and reports of any other available audits.
7. Applicant organization’s annual report.
8. Publicly available information, including information from the applicant organization's website.
9. Applicant’s ability to effectively implement statutory, regulatory, or other requirements imposed on award recipients.

### **Applicant Disclosure of High-Risk Status**

Applicants are to disclose if they are currently designated as high risk by a federal awarding agency. This includes, but is not limited to, any status requiring additional oversight by a federal awarding agency due to past programmatic, administrative or financial concerns. If an applicant is designated as high risk by a federal awarding agency, it should provide an explanation with the application package and include the following information:

1. The federal awarding agency that assigned the high-risk status;
2. The federal awarding agency’s point of contact for the risk status, including name, phone number and email address;
3. Date of the risk status designation;
4. Reason(s) for the risk status designation.

DHS seeks this information to ensure appropriate federal oversight of all grant awards. The disclosure of an organization’s risk status does not disqualify it from receiving an award; however, additional grant oversight may be required. If necessary, this information will be provided in the award documentation. Failure to disclose high risk status may result in award termination or other remedies.

## **REQUIRED FORMS**

Complete the required forms in accordance with the application instructions on Grants.gov. If submitting any information that is deemed proprietary, privileged or confidential, commercial or financial, please denote the beginning and ending of such information with asterisks (\*\*\*)).

### **1. Form SF-424 – Application for Federal Assistance**

This form must be completed within the application package on Grants.gov. You must download and install Adobe Reader in order to view this form. Applicants are only required to complete fields which are highlighted.

### **2. Form SF-424A – Budget**

This form must be completed within the application package on Grants.gov. You must download and install Adobe Reader in order to view this form. Applicants are only required to complete fields which are highlighted. Provide budget amounts by object class (personnel, fringe benefits, travel, etc.). Include second year budget amounts in Section E. Funds may be requested as long as the item and amount are necessary to perform the proposed work and are not precluded by the cost principles or program funding restrictions.

### **3. Certifications/Assurances**

- a. **These forms must be completed within the application package on Grants.gov. You must download and install Adobe Reader in order to view these forms.**

Applicants must submit:

- b. Form SF-424B – Assurances – Non-Construction Programs; and  
c. Certification Regarding Lobbying. If paragraph two of the certification applies, then complete and submit SF-LLL Disclosure of Lobbying which is provided as an optional form in the application package.

By signing and submitting an application under this announcement, the applicant is providing: Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Debarment, Suspension, and Other Responsibility Matters – Primary Covered Transactions; and Certification that the applicant is not delinquent on any federal debt.

### **4. Project Abstract (5 pages maximum)**

Provide the information requested below. Please address each question following the order outlined in the following table. The project abstract must not include any proprietary/confidential information. Attach the Project Abstract to the application package.<sup>3</sup>

---

<sup>3</sup> See the Grants.gov [Applicant User Guide](#) for instructions on how to attach forms and documents.

**Appendix**  
**Additional Required Documents**

<b>General Information</b> <i>Complete the following table.</i>		
1.	Organization legal name	
2.	Organization legal address (Number and street, city, state, zip code)	
3.	Head of the organization (Name, title, address, phone number, email address)	
4.	Authorized official, the person at the organization authorized to sign and receive award (Name, title, address, phone number, email address and website:)	
5.	Grant project manager, the person who will manage operations of the grant project and will serve as USCIS' primary point of contact (Name, title, address, phone number, email address)	
6.	Type of organization (e.g., community/faith-based organization, public school, adult education program, public library, etc.)	
7.	Total numbers you are proposing to serve with this grant:  Students enrolled; N400 Screenings; and N400 Submitted.	
8.	Annual Organizational Budget	
9.	Total federal funding requested (up to \$300,000)	
10.	Total federal funding allocated for citizenship instructor salaries	
11.	Total federal funding allocated for administrative costs	
12.	Applicant's total cost share (percentage of total award amount requested; minimum of 10% required)	
13.	Geographic area/community where services will be provided	
14.	Congressional district (based on the legal address of the applicant organization)	

**Appendix**  
**Additional Required Documents**

15.	Number of full-time equivalents (FTEs) Indicate the number of intended grant-funded FTEs.	
16.	Is your organization or proposed sub-awardee DOJ recognized? (yes/no)	
17.	List all employees who are Department of Justice (DOJ) Accredited or attorneys who will work on this grant.	
18.	List any grants received by the applicant in the past three years focused on adult education or services to immigrants. Include: 1. Awarding agency, 2. Date received, and 3. Dollar amount.	
<b>Sub-Awardee Organization(s) (if applicable)</b> <i>If there are multiple sub-awardees, provide the below information for each.</i>		
19.	Sub-awardee organization legal name	
20.	Sub-awardee organization point of contact (Name, title, address, phone number and email address)	
21.	Is the sub-awardee a non-profit or public organization? If not, the sub-awardee is not eligible to receive funding.	
22.	Sub-awardee type of organization (e.g., community/faith-based organization, public school, adult education program, public library, etc.)	
23.	Services proposed by sub-awardee organization (legal services, education services, or both)	
24.	Total federal funds allocated for the sub-awardee	

**5. Project Narrative (15 double-spaced page maximum)**

In a separate document, provide a response for each item in the chart below following the order listed. Items 5.A. – 5.C. below are the **mandatory headings** that must be included in the Project Narrative. The items and attachments listed in each section are required, and any item or attachment that is omitted will result in points deducted.

**Format Requirements**

- You must follow the order specified below, using the required **five** headings:
  - A. Community Need
  - B. Integration Plan
  - C. Integration Services

*Appendix*  
*Additional Required Documents*

D. Citizenship Instruction and Civics Based ESL

E. Naturalization Application Services

- Include the title “Project Narrative” at the top of the first page.
- Ensure that the application can be printed on 8 ½” x 11” single-sided paper.
- Use double linespacing.
- Font size must be at least 12 point, preferably Times New Roman font.
- Margins must be at least one (1) inch at the top, bottom, left and right of the paper.
- Project narrative pages must be numbered “1” of “XX.”
- Pages should be numbered consecutively and are limited to a total of **15 pages**.
- Do not include any marks from the “Track Changes” tool in your word processing program.
- Attach the completed Project Narrative to the application package.

Project Narrative Items
-------------------------

<b>A. Community Need</b>
--------------------------

Describe:

- **The particular population(s) that you currently serve with integration services**, including the number of refugees resettled in the local area during the past five years under USRAP; estimated number of secondary refugee migrants to the area; countries of origin; year(s) of arrival; languages spoken; and typical literacy/education needs.
- **The current unmet need for integration services among the population that you serve**, including whether there are wait lists for integration services at your organization or others. Indicate how many individuals you will provide with integration support under this grant and whether there are other service providers in your area.
- **The current need for civics-based literacy instruction, civics-based ESL, and citizenship instruction among the population that you serve**, including whether there are wait lists for citizenship instruction and/or ESL instruction at your organization or others. Indicate whether there are other citizenship and ESL instruction service providers in your area.
- **The current need for naturalization application services among the population that you serve**, including whether there are wait lists for services. Indicate whether there are other naturalization application service providers in your area.

## B. Integration Plan

Describe:

- **Intake procedures**, including how the organization and sub-awardee (if applicable) will verify and document that only program beneficiaries will receive services funded through this funding opportunity, and who will conduct the intake.
- **The process you will use to assess program beneficiaries and to create an individual integration plan** for those who have identified naturalization as a goal. **List the key information**, including information on English language proficiency and naturalization eligibility, you will collect and use to inform the development of individual integration plans for each client.
- **Who on your staff will directly work with the program beneficiaries to develop the integration plans** and monitor their implementation (attach resumes or position descriptions).
- **How your organization and your client will use the individual integration plan to guide referrals to integration services** available under this grant, and to services provided by partner organizations; provision of civics-based literacy, civics-based ESL, or citizenship instruction; and provision of naturalization application services. Describe how you will use the integration plan to monitor and track the client's progress toward integration goals.
- **The number of individual integration plans** you will develop with clients under this program. You must propose to serve a minimum of 200 program beneficiaries. You must prepare an integration plan for each program beneficiary served.

## C. Integration Services

Describe:

- **The types of integration services you intend to directly provide using grant funding**, the number of clients you will serve with each type of service.
- **Additional integration services** to which you will provide referrals, as appropriate.
- **Your organization's and, if applicable, sub-awardee's recent experience** (in the past five years) providing integration services to program beneficiaries. Describe in detail the specific types of services provided and indicate dates, total years of experience, the number of clients served with each type of service in the past year and any previous years.
- **Your organization's ability to refer** enrolled clients to a network of local service providers with whom you have an established and ongoing relationship to provide an array of integration support that will not be provided directly by the applicant.



<b>D. Civics-based literacy, Civics-based ESL and Citizenship Instruction</b>
<b>1. Experience</b>
<p>Describe:</p> <ul style="list-style-type: none"><li>• <b>Your organization’s and, if applicable, sub-awardee’s recent experience providing citizenship instruction to the specific population(s) you seek to serve under this grant.</b> Indicate dates, total years of experience, the number of students enrolled in classes in the past year and any previous years and, if available, the naturalization test pass rate for program participants (if applicable);</li><li>• <b>Your organization’s and, if applicable, sub-awardee’s recent experience providing ESL instruction.</b> Indicate dates, total years of experience, the number of students enrolled in ESL in the past year and any previous years.</li><li>• <b>How civics-based literacy, civics-based ESL and citizenship instruction services can be provided to a minimum of 150 program beneficiaries based on your organization’s experience, past performance, and the proposed budget.</b> Please include how the proposed citizenship and civics-based ESL instruction will meet the unique learning needs of the proposed population. (You must propose to enroll and pre and post-test a minimum of 150 program beneficiaries enrolled in any of the three types of civics/citizenship instruction programs.)</li></ul>
<b>2. Program Goals and Administration</b>
<p>Describe:</p> <ul style="list-style-type: none"><li>• <b>Plans to move students between levels based on progress.</b></li><li>• <b>Strategies for ensuring student retention</b>, including whether there are any known barriers to student attendance (e.g., transportation, childcare, etc.) and your organization’s strategies to address these and retain students.</li><li>• <b>The proposed managed enrollment policy for the program</b>, including whether students will be permitted to enroll in grant funded classes after the start of a class cycle (and if so, for how long into the cycle) and how the organization will accommodate students who desire classes after the registration period has closed. Note: Programs <u>may not</u> enroll students after the third-class period.)</li></ul>

3. Class Structure and Curriculum
<p>Describe:</p> <ul style="list-style-type: none"><li>• A description of the <b>comprehensive citizenship education services</b> provided to students, including curriculum objectives and how the proposed curriculum and class structure meet the needs of the program beneficiary community.<sup>4</sup></li><li>• A description of the <b>class structure</b>, including levels offered and length of each class cycle (in weeks and hours). Applicants may refer to the class cycle table provided in the Project Abstract. Note: Applicants must offer a minimum of 40 hours of citizenship instruction over a 10-12-week class cycle for students at the NRS low beginning to high intermediate level. (Classes offered at the beginner literacy NRS or pre-test at NRS advanced level are not eligible for funding under this grant. Classes offered in languages other than English are not eligible for funding under this grant.)</li><li>• If you propose to offer a multi-level class, describe <b>how the different levels</b> will be managed in the classroom, including how volunteers will be used.</li><li>• The use of <b>materials</b> for citizenship instruction, including the name, year, and edition of the textbook(s) that will be provided to students for each class level.</li><li>• A description of the <b>assessment process</b>, including:<ul style="list-style-type: none"><li>• The specific nationally normed standardized test or test(s) that will be used for the program to assess English language proficiency;<sup>5</sup></li><li>• When and how assessments are used;</li><li>• The staff responsible for administering the test;</li><li>• Strategies you intend to employ to ensure that all enrolled students are both pre and post tested; and</li></ul></li><li>• Non-standardized assessments (e.g. quizzes, unit tests, etc.) that are used to monitor student civic learning during the course for each class level.</li><li>• Grant recipients are strongly encouraged to use the USCIS developed Assessment of Adult Citizenship Education (AACE) to measure civic and English acquisition and knowledge. USCIS will provide the AACE test free of charge upon funding. While use of the AACE test is not required, it is strongly encouraged as a means toward eventual establishment of a nationally normed baseline for measuring civics education gains, in addition to measuring English-language gains.</li></ul>
4. Class Structure and Curriculum
<p>Describe <b>the staffing structure</b> for the proposed instruction program.</p> <ul style="list-style-type: none"><li>• Provide a list of key personnel for the program. Key personnel include the grant project manager(s) and teacher(s).</li><li>• For each person, provide the following information, preferably in a table format:<ul style="list-style-type: none"><li>○ Name, or indicate if the position is vacant. If the position is vacant, a target start date;</li><li>○ Title and brief position description;</li><li>○ Whether the position is paid or volunteer;</li><li>○ FTE charged to the grant; and</li><li>○ Relevant experience, qualifications and training. Note that this grant must include at least one paid teacher position. The applicant must demonstrate that each teacher has at least one year of experience teaching ESL to adults in a classroom setting for</li></ul></li></ul>

<sup>4</sup> For guidance on developing a citizenship curriculum, please review the [Guide to the Adult Citizenship Education Content Standards](#)

<sup>5</sup> The standardized tests that the Department of Education has determined to be suitable to assess English language proficiency as listed in [Federal Register Notice Tests Determined To Be Suitable for Use in the National Reporting System](#)

**Appendix**  
**Additional Required Documents**

- a program that has a structured curriculum and utilizes a textbook, and:
- Has a degree in education (K-12 Social Studies, History, TESOL, or Adult Education); and/or
  - Has a minimum of 2 years of experience teaching ESL to adults in a classroom setting (or virtual classroom since March 2020) for a program that has a structured curriculum.

<b>Sample Staff Table</b>				
<b>Name</b>	<b>Title and Position Description</b>	<b>Paid or Volunteer</b>	<b>FTE charged to grant</b>	<b>Relevant experience, qualifications and training</b>
Mary Brown	Education Program Coordinator	Paid	0.5 FTE	TESOL degree, 5 years of experience as a program manager, 10 years of experience teaching ESL

- If applicable, explain how volunteers will be used for the instruction program. Describe their roles and responsibilities, the training they will receive, and the reporting structure. Volunteers must be managed by a paid lead teacher or a paid education program coordinator.
- If your organization has only one teacher on staff at the time of application, please describe your organization’s **contingency plan** for the provision of instruction in the event of staff turnover.

**E. Free Naturalization Application Services**

**1. Experience**

Describe:

- **Your organization’s and, if applicable, sub-awardee’s recent experience providing naturalization application services within the authorized practice of immigration law.** Indicate dates, total years of experience, whether the services were provided by attorneys or U.S. Department of Justice (DOJ) OLAP accredited representatives, and the number of program beneficiaries served in the past year and any previous years.
- **Program achievements**, including the organization’s record of submitting successful naturalization applications on behalf of program beneficiaries.
- The particular **population(s) that you have served** with naturalization application services.
- **How naturalization application services can be provided for up to 150** students based on your organization’s experience, past performance, and the proposed budget. This number will be assessed on an ongoing basis with grantees as they monitor client progress through citizenship instruction to naturalization application readiness.

## 2. Service Delivery and Immigration Case Management

Describe:

- **The naturalization application services provided**, including the forms filed on behalf of clients.
- **The naturalization eligibility screening process** and who will determine clients' eligibility for naturalization.
- **How your organization handles complex cases.**
- **The support provided to clients throughout the application process** (i.e., from intake until the oath ceremony).
- **How your organization prepares clients** for the naturalization interview.
- **How your organization keeps clients informed** of their case status.

## 3. Personnel

- Describe the **staffing structure** for the proposed naturalization application services program. Provide a list of key personnel for the program. Key personnel include the project manager(s), the DOJ accredited representative(s) and/or attorney(s), and any additional case workers. For each person, provide the following information, preferably in a table format:
  - Name, or indicate if the position is vacant. If the position is vacant, provide a separate position description and target start date;
  - Title and brief position description;
  - Whether the position is paid or volunteer;
  - FTE charged to the grant;
  - Relevant experience, qualifications and training. For the DOJ accredited representative(s) and/or attorney(s), indicate the level of experience providing naturalization application services; and
  - Indicate who will sign Form N-400 as the preparer and who will enter their appearance as Attorney or DOJ accredited representative by signing Form G-28 in connection with all naturalization applications filed under this grant.

See below for a sample staff table.

Name	Title and Position Description	Paid or Volunteer	FTE charged to grant	Relevant experience, qualifications and training
Jim Smith	DOJ accredited representative (provides immigration legal services to clients)	Paid	0.3 FTE	Accredited for 5 years, 3 years of experience with naturalization application services

- If your organization has only one staff member that is DOJ accredited or an attorney, please describe your organization's **contingency plan** for the provision of naturalization application services in the event of staff turnover. You may use grant funds to cover costs associated with the DOJ accreditation of additional staff members.
- If applicable, explain **how volunteers will be used** for the naturalization application services program. Describe their qualifications, roles and responsibilities, the training they will receive, and the reporting structure.

## 6. Project Narrative Attachments

NOTE: The attachments will not count toward the page limit for the Project Narrative. These items should be attached to the application package.

- a. Provide a completed sample template of your organization's proposed integration plan. Integration plans must capture the continuation of services for each individual client from point of entry into the program towards the end goal of naturalization. The integration plan is intended to establish a map for every client that tracks the individual's progress towards their unique integration goals and should culminate in naturalization.
- b. Provide a document summarizing your program's citizenship curriculum (and ESL curriculum, if appropriate). This document must include:
  - 1) A course abstract that provides a summary of the course or courses offered and includes:
    - **Scope of the Content:** The NRS level 1 (for Civics Based Literacy), NRS Level 2 (for Civics Based ESL), or NRS level 3+ (for Citizenship Education) appropriate English grammar and vocabulary, relevant civics content and vocabulary, and integration concepts and themes that are included in the curriculum.
    - **Resources:** The resources or types of resources that will be used during instruction. This should include an appropriate level citizenship textbook. USCIS flashcards, booklets, and printed materials should not be included as class resources.
    - **Instruction:** The approaches and strategies for targeting instruction at the NRS level 1 (for Civics Based Literacy) – beginning ESL literacy level NRS level 2 (for Civics Based ESL), or NRS level 3+ (for Citizenship Education).

The abstract must also include a rationale as to why the scope of the content, resources, and instruction will prepare students for the next level of instruction (*Civics-Based ESL* course or citizenship education).
- c. Course learning objectives;
- d. Textbook(s) and supplemental materials to be used;
- e. A scope and sequence (not to exceed one page) that outlines the order in which the content will be delivered, how long each topic/lesson/unit will be covered. NOTE: The scope and sequence must align with the class structure outlined in the project abstract. (Do not send the textbook table of contents.); and
- f. A description of assessments used and when assessments are administered.

For more information on creating a curriculum, please see the Guide to the Adult Citizenship Education Content Standards and Foundation Skills found at [www.uscis.gov/sites/default/files/USCIS/Office of Citizenship/Citizenship Resource Center Site/Publications/M-1121.pdf](http://www.uscis.gov/sites/default/files/USCIS/Office%20of%20Citizenship/Citizenship%20Resource%20Center%20Site/Publications/M-1121.pdf), the USCIS Guide to Creating an Adult Citizenship Education Curriculum found at [www.uscis.gov/sites/default/files/document/guides/M-1189.pdf](http://www.uscis.gov/sites/default/files/document/guides/M-1189.pdf), and the USCIS Sample Curriculum found at [www.uscis.gov/sites/default/files/document/guides/M-1166.pdf](http://www.uscis.gov/sites/default/files/document/guides/M-1166.pdf).

- g. Provide one original lesson plan for each class level. (Photocopies of textbook pages or USCIS civics lesson plan are not acceptable.)

**Appendix**  
**Additional Required Documents**

- h.** Attach résumés and/or position descriptions (if the position is vacant) for all key personnel, including program managers and coordinators, teachers (paid and volunteer), attorneys and/or DOJ accredited representatives, and other legal support staff. Résumés must include all relevant job experience, education, and licensure or accreditation with corresponding dates.
- i.** Organizational chart for the applicant and any proposed sub-awardee(s).
- j.** If you propose a sub-awardee, the applicant must include a signed Memorandum of Understanding (MOU) between the organizations as a required attachment to the grant application. The MOU should include the responsibilities expected of each party, performance expectations, plans for maintaining communication, and the payment and/or reimbursement process for the sub-awardee(s). The MOU should be signed by both parties and dated.

**7. Program Goals**

Provide the following goals for grant-funded services in a table format. Include the total number over the 2-year period, as well as a breakdown by quarter. There are 8 quarters over the 2-year performance period, and each quarter is 3 months long.

<b>Program Goal Chart</b>									
<b>Goal</b>	<b>Q1</b>	<b>Q2</b>	<b>Q3</b>	<b>Q4</b>	<b>Q5</b>	<b>Q6</b>	<b>Q7</b>	<b>Q8</b>	<b>Total</b>
1. The completion, implementation, and monitoring of integration plans for all enrolled clients <b>(Minimum 200)</b>									
1. Number of newly- enrolled (non-duplicated) program beneficiaries to enroll in citizenship instruction classes <b>(Minimum 150)</b>									
2. Percentage of enrolled students who post-test <b>(Minimum 80%)</b>									
3. Percentage of post-tested students demonstrating measurable educational gains <b>(Minimum 80%)</b>									
4. Number of program beneficiaries for whom your organization will provide naturalization eligibility screening <b>(Minimum 175)</b>									

**Appendix**  
**Additional Required Documents**

5. Number of program beneficiaries for whom your organization will prepare and submit Form N-400 and Form G- 28 ( <b>Minimum 150</b> )									
6. Number of program beneficiaries to pass the naturalization test after receiving services from your organization									
7. Number of program beneficiaries to naturalize after receiving services from your organization									

**8. USCIS Quarterly Feedback Report (Current or Past Grant Recipients Only)**

If USCIS awarded your organization with a Citizenship and Integration/Integration Grant anytime in 2012-2020, please provide a copy of the most recent quarterly feedback report.

Note: This is an example of a FY 2019 USCIS feedback report. Please be sure to include your full feedback report, not just the example shown below.

FY 2019 Citizenship and Integration Grant Program			
Quarterly Feedback Report			
<b>I. Grant Information</b>			
Organization			
USCIS Program Officer			
Quarter			
Feedback Report Date			
<b>II. Goal Progress Assessment</b>			
Core Goal	Did you meet your quarterly goal?	On track to meet cumulative goal?	Feedback: (1) What has gone well? (2) What needs to improve? (3) Does grantee need assistance from USCIS? If so, what? (Assistance can include programmatic, teacher training, retention, educational, testing, legal, technical, and other types of help)
Number of newly-enrolled (non-duplicated) citizenship students			
Percentage of enrolled students who post-tested			
Percentage of post-tested students demonstrating measurable gains			
Number of LPRs that received naturalization eligibility screening			
Number of LPRs for whom your organization prepared and submitted Form N-400			

**9. Budget Table and Narrative**

When proposing costs for this grant program, ensure that the budget shows a reasonable balance of costs between the citizenship instruction program and the naturalization application services program.

If a **sub-awardee** is proposed, applicants must provide a separate sub-awardee budget narrative and table following the same format and with the same level of detail as that of the applicant (i.e., by Object Class Category/Cost Classification). Each sub-awardee budget and supporting detail should be separate from the applicant’s budget narrative.

If any fees are proposed that will result in program income, show in the budget how this income will be used to support the program.

**Budget Table**

Provide your budget request in a table format in addition to the budget narrative. Include all budget categories, as listed in the budget narrative section. Under each category list the line items requested. See sample table below. Note: This sample table shows the Personnel category only. Applicants must provide information on all budget categories.

Budget Table									
Category and Item	Year 1			Year 2			Total		
	In-Kind	DHS	Total	In-Kind	DHS	Total	In-Kind	DHS	Total
<b>1. Personnel</b>									
J. Smith, Program Manager - 1 FTE	\$X	\$X	\$X	\$X	\$X	\$X	\$X	\$X	\$X
B. Diaz, Instructor - 0.5 FTE	\$X	\$X	\$X	\$X	\$X	\$X	\$X	\$X	\$X
L. Santiago, Attorney - 1 FTE	\$X	\$X	\$X	\$X	\$X	\$X	\$X	\$X	\$X
K. Brown, Assistant - 0.25 FTE	\$X	\$X	\$X	\$X	\$X	\$X	\$X	\$X	\$X
<b>TOTAL Personnel</b>	\$X	\$X	\$X	\$X	\$X	\$X	\$X	\$X	\$X

The Budget Table may be provided either in the same document as the budget narrative or attached to the application package.

**Budget Narrative (Five-page limit)**

Attach your budget narrative (including separate budget narratives for each proposed sub-awardee) to the application package. Please limit the information provided in this section to budget relevant information only.

Include costs for the first and second performance years. Separate the first performance year costs from the second performance year costs. Show a total of all requested federal grant funds. This total should match the total listed on the project abstract. Budget categories **b – i**



*Appendix*  
*Additional Required Documents*

below should add up to this total. This total should **not** include any in-kind costs. The in-kind contribution total should be listed separately.

Provide budget information in the order listed below. Budget detail is required for:

- a. **In-Kind Costs**: The dollar value of non-cash donations to the project. These donations may be in the form of space, supplies, salaries, etc. The costs should be calculated at the verifiable fair-market value.
- b. **Personnel**: Costs of employee salaries and wages. For each staff person, provide the name (if known), title, time commitment to the project as a percentage of a full-time equivalent (FTE), annual salary, and grant-funded salary. Do not include the costs of consultants. Consultants are to be included under “Contractual.”
- c. **Fringe Benefits**: Costs of employee fringe benefits unless treated as part of an approved indirect cost rate. Provide the method used to calculate the proposed rate amount. If a fringe benefit has been negotiated with, or approved by, a cognizant federal agency, **attach a copy of the negotiated fringe benefit agreement**. If no rate agreement exists, provide a breakdown of the amounts and percentages that comprise fringe benefit costs such as health insurance, FICA, retirement insurance, taxes, etc. Identify the base for allocating these fringe benefit expenses. (Attach the agreement to the application package.)
- d. **Travel**: Costs of project-related travel by employees of the applicant organization and/or sub-awardees (do not include costs of sub-contractor or consultant travel). For each proposed trip, provide the purpose, number of travelers, travel origin and destination, number of days, and a breakdown of costs for airfare, lodging, meals, car rental, and incidentals. The basis for the airfare, lodging, meals, car rental, and incidentals must be provided, such as past trips, current quotations, Federal Travel Regulations, etc. Foreign travel is not permitted.
- e. **Equipment**: Any article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost which equals or exceeds the lesser of (a) the capitalization level established by the organization for financial statement purposes, or (b) \$5,000. For each type of equipment requested, provide a description of the equipment, the cost per unit, the number of units, the total cost, and a plan for use on the project, as well as use or disposal of the equipment after the project ends. An applicant organization that uses its own definition for equipment should provide a copy of its policy or section of its policy which includes the equipment definition.

**NOTE 1:** Acquisition cost means the net invoice unit price of an item of equipment, including the cost of any modifications, attachments, accessories, calibration and maintenance services, or auxiliary apparatus necessary to make it usable for the purpose for which it is acquired. Ancillary charges, such as taxes, duty, protective in-transit insurance, freight, and installation must be included in or excluded from acquisition cost in accordance with the organization's regular written accounting practices.

*Appendix*  
*Additional Required Documents*

**NOTE 2:** Before purchasing equipment in the amount of \$5,000 or more per unit cost, the Recipient must obtain the written approval from DHS. The Recipient must maintain an annual inventory, which will include a brief description of the item, serial number and amount of purchase for equipment purchased with grant funds, or received under a grant, and having a \$5,000 or more per unit cost. The inventory must also identify the sub-award under which the equipment was purchased. Maintenance and insurance will be the responsibility of the Recipient. Title of equipment will remain with the Recipient until closeout when disposition will be provided in writing by DHS within 120 days of submission of final reports.

- f. **Supplies:** Costs of all tangible personal property other than that included in the equipment category. Specify general categories of supplies and their costs. Show computations and provide other information which supports the amount requested. Grantees should calculate the cost of assessments as well as printing the USCIS required assessment.
- g. **Contractual:** Costs of all contracts for services and goods except for those that belong under other categories such as equipment, supplies, construction, etc. Include third party evaluation contracts (if applicable) and contracts with secondary recipient organizations.

Demonstrate that all procurement transactions will be conducted in a manner to provide, to the maximum extent practical, open and free competition. Identify proposed sub-contractor work and the cost of each sub-contractor. Provide a detailed budget for each sub-contractor that is expected to perform work estimated to be \$25,000 or more, or 50% of the total work effort, whichever is less.

- Identify each planned subcontractor and its total proposed budget. Each subcontractor's budget and supporting detail should be included as part of the applicant's budget narrative.
- Provide the following information for each planned subcontract: a brief description of the work to be subcontracted; the number of quotes solicited and received, if applicable; the cost or price analysis performed by the applicant; names and addresses of the subcontractors tentatively selected and the basis for their selection; e.g., unique capabilities (for sole source subcontracts), low bidder, delivery schedule, technical competence; type of contract and estimated cost and fee or profit; and, affiliation with the applicant, if any.
- Recipient may be required to make pre-award review and procurement documents available to DHS, including request for proposals or invitations for bids, independent cost estimates, etc. This may include procurements expected to exceed the simplified acquisition threshold fixed at 41 U.S.C. § 403(11) (currently set at \$100,000) and expected to be awarded without competition or only one bid or offer is received in response to a solicitation.
- All required flow down provisions in the award must be included in any subcontract.

**NOTE:** Applicants may propose a sub-contract for specific tasks, such as hiring additional citizenship instructors on a contractual basis.

*Appendix*  
*Additional Required Documents*

However, the applicant must demonstrate its ability to successfully manage all aspects of the grant-funded project, including financial management.  
**Private law firms and attorneys in private practice are not eligible to receive funding under this funding opportunity.**

- h. **Other Direct Costs:** Any other items proposed as direct costs. Provide an itemized list with costs and state the basis for each proposed item.  
**Attach a copy of the latest indirect cost rate agreement** negotiated with a cognizant federal agency. If the applicant is in the process of initially developing or renegotiating a rate, upon notification that an award will be made, it should immediately develop a tentative indirect cost rate proposal based on its most recently completed fiscal year, in accordance with the cognizant agency's guidelines for establishing indirect cost rates and submit it to the cognizant agency. Applicants awaiting approval of their indirect cost proposals may also request indirect costs. When an indirect cost rate is requested, those costs included in the indirect cost pool should not also be charged as direct costs to the award. If the applicant is requesting a rate which is less than what is allowed under the program, the authorized representative of the applicant organization must submit a signed acknowledgement that the applicant is accepting a lower rate than allowed. Any non-federal entity that has never received a negotiated indirect cost rate (except for those non-federal entities described in [Appendix VII to Part 200](#) States and Local Government and Indian Tribe Indirect Cost Proposals, paragraph D.1.b) may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC) which may be used indefinitely. As described in [§200.403](#) Factors Affecting Allowability of Costs, costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both. If chosen, this methodology once elected must be used consistently for all federal awards until such time as a non-federal entity chooses to negotiate for a rate, which the non-federal entity may apply to do at any time. For more information, see [2 C.F.R. § 200.414](#).

**10. Documentation of Non-profit and/or Public Status**

The applicant and any proposed sub-awardee must provide documentation of non-profit and/or public status. Any of the following constitutes acceptable proof of non-profit status:

- a. A reference to the applicant organization's listing in the Internal Revenue Service's (IRS) most recent list of tax-exempt organizations described in section 501(c)(3) of the IRS Code.
- b. A copy of a currently valid IRS tax exemption certificate.
- c. A statement from a State taxing body, State attorney general, or other appropriate State official certifying that the applicant organization has a non-profit status and that none of the net earnings accrue to any private shareholders or individuals.
- d. A certified copy of the organization's certificate of incorporation or similar document that clearly establishes non-profit status.

*Appendix*  
*Additional Required Documents*

- e. Any of the items in the subparagraphs immediately above for a State or national parent organization and a statement signed by the parent organization that the applicant organization is a local non-profit affiliate.
- f. A signed statement on official letterhead by an official authorized to apply for grant funds on behalf of the public entity will suffice.

Attach documentation of non-profit and/or public status to the application package.

**11. Performance Measures**

The performance measures for the grant require that a) program beneficiaries enrolled in class that at least 80% will receive a pre and post-test. Of the students pre and post-tested, (b) 80% should demonstrate educational gains by increasing their score by at least one point.

Example: In FY 2020, 8,533 program beneficiaries were enrolled in classes. Of the students enrolled, 65% were pre and post tested. Of the students that pre and post tested, 81.2% demonstrated educational gains.